

# PATENT

Express Mail Label No.: EL 842426745 USCase Docket No.: 2001 P 08586 USDate: May 15, 2001

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
Box PATENT APPLICATION

Transmitted herewith for filing is the patent application of:

Inventor(s): Xian J. NingFor: A Single RIE Process for MIMCAP Top and Bottom Plates

This application includes:

13 pages: specification and claims  
3 sheets of drawings,      formal/ x Informal  
     photographs

Also enclosed is:

x      An Assignment of the invention to Assignee(s):  
     x Infineon Technologies North America Corp.  
          International Business Machines Corporation  
     A certified copy of      application  
x      Declaration and Power of Attorney  
          Information Disclosure Statement pursuant to 37 CFR 1.56  
          Form PTO-1449  
          Form PTO-1595  
x      Post Cards (2)

The filing fee has been calculated as shown below:

| FOR:                                 | (Col. 1)<br>NO. FILED | (Col. 2)<br>NO. EXTRA RATE | OTHER THAN A SMALL<br>ENTITY FEE |
|--------------------------------------|-----------------------|----------------------------|----------------------------------|
| BASIC FEE                            | XXXXXXXX              | XXXXXXXX                   | XXXXX \$710                      |
| TOTAL CLAIMS                         | 17 - 20 =             |                            | X \$18 = \$0                     |
| INDEP CLAIMS                         | 3 - 3 =               |                            | X \$80 = \$0                     |
| * MULTIPLE DEPENDENT CLAIM PRESENTED |                       |                            | + 270 = \$0                      |
| ASSIGNMENT(S)                        | # <u>1</u>            |                            | X \$40 = \$40                    |
|                                      |                       |                            | TOTAL <u>\$750.00</u>            |

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J1046 U.S. PTO

09/855894

05/15/01

Respectfully submitted,

Date: May 11, 2001

05-1/6-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xian J. Ning Art Unit: TBD  
Serial No.: TBD Examiner: TBD  
Filed: May 15, 2001 Docket: 2001 P 08586 US  
For: A Single RIE Process for MIMCAP Top and Bottom Plates

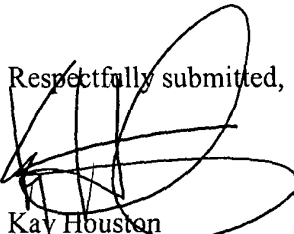
Certificate of Mailing via Express Mail

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Date of Deposit: May 15, 2001

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX: Patent, Assistant Commissioner for Patents, Washington, DC 20231:

- Transmittal Letter Original and 1 Duplicate (2 pages)
- Complete Copy of Patent Application (16 pages), to include:
  - Specification (13 pages)
  - Drawings (3 pages)
- Declaration and Power of Attorney (3 pages)
- Assignment Recordation Cover Sheet (1 page)
- Assignment (2 pages)
- Return Postcards (2)

Respectfully submitted,  
  
Kay Houston

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